Send to: Mail Stop PCT, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

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Other items or information:

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LAPS Rec'd PCT/PTO 16 DEC 2005 HAND CARRY

FORM PTO-1390 ATTORNEY'S DOCKET NUMBER U.S. DEPARTMENT OF COMMERCE (REV. 7-2005) PATENT AND TRADEMARK OFFICE 9741-015-999 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) To be less APPLICATION NO. (if known, see 37CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/GB2004/002579 June 15, 2004 June 18, 2003 TITLE OF INVENTION Amorphous Form of Clanzapine APPLICANT(S) FOR DO/EO/US Gray, Jason Applicant herewith submits to the United States Designated/ Elected Office (DO/EO/US) the following items and other information: 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The U.S. has been elected (Article 31). 5. \boxtimes A copy of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 20. below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. \boxtimes A preliminary amendment. 14. П An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter 2 and 37 CFR 1.821- 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19.

This collection of information is required by 37 CPR 1.414 and 1.49.1-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

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The following fees are submitted: 21. Sig Basic and actions fee	US APPLICATION N To be assigned	OCTOR!	100	PCT/GB2004/002		N NO.	9741-0		OCKET NUMBER)		
22. Seamination (e Was the Written Opinion prepared by the ISA/USPTO or did the International Preliminary Examination Report prepared by the USPTO indicate that all filed claims in this U.S. application satisfy provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)?	The following fee			_							
Was the Written Opinion prepared by the ISA/USPTO or did the International Preliminary Examination Report prepared by the USPTO indicate that all filed claims in this U.S. application satisfy provisions of PCT Article 33(1)-(4) (i.e., novelty, inventive step and industrial capability)?	_		\$300.00	•							
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23. Sacach Fee. Did the Written Opinion of the ISA/USPTO or the International preliminary examination report prepared by the USPTO indicate that all claims satisfy provisions of PCT Article 3(1)-(4) (i.e., novelty, inventive step and industrial capability)? (a) 1) Yes (\$0.00) (b) A Search Fee has been paid on the international application to the USPTO as an International Search Authority (\$100.00) (b) An International Search Authority (\$100.00) (c) All other situations (\$5.00.00) (d) An International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB. (\$400.00) (e) All other situations (\$5.00.00) (f) Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing of computer programs listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction future of each additional 60 or hold for each additional fee future of each addi			6200.00								
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